### **FORM PTO-1083**

ASSISTANT COMMISSIONER FOR PATENTS Washington, DC 20231

In re application of: Paul MARSHALL

Serial No.: 10/038,757 Filed: January 2, 2002

For:

VIRTUAL REALITY GENERATOR FOR USE WITH FINANCIAL INFORMATION

Transmitted herewith is an Response to Missing Parts of Nonprovisional Application and Amendment in the above-identified application.

- Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been [X] established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 C.F.R. 1.9 and [] 1.27 is enclosed.
- No fee for additional claims is required.
- A filing fee for additional claims calculated as shown below, is required:

	(Col. 1)	(Col. 1) (Col. 2)			SMALL ENTITY				RGE ENTITY	
FOR:	REMAINING	HIGHEST	RAT	'E	FEE	OF	<u>≀</u> ↓	RATE	FI	EE
	AFTER	PREVIOUSLY	PRESENT	_						
	AMENDMENT	PAID FOR	EXTRA	-						
TOTAL CLAIMS	Mi	nus =	· 0 x \$		\$	<u> </u>	<u>\$</u>	. \$	00	L
INDEP. CLAIMS	Minus =		0 x \$		\$	<u> </u>	\$	\$	.00	L
FIRST PRESENTATION OF MULTIPLE DEP. CLAIR   \$							\$	\$	0	L
TOTAL: \$ OR							TAL	:	\$	00

- If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
- Also transmitted herewith are: [X]
  - [ ] Petition for two month extension under 37 C.F.R. 1.136
  - [X] Other: Copy of Notice to File Missing Parts
- A check in the amounts of \$635.00 is attached to cover: [X]
  - [X] Filing fee for additional claims under 37 C.F.R. 1.16
  - [X] Additional fee due for two month extension under 37 C.F.R. 1.136
  - [X] Other: Surcharge.
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this [X] communication or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.
  - Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by [] check submitted herewith.
  - Any patent application processing fees under 37 C.F.R. 1.17. [X]

Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submytted herewith, [X] and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Cary S. Kappel Reg. No. 36 661 DAVIDSON, DAVIDSON & KAPPEL, LLC

CON<sub>2</sub>

Date: July 22

COPY OF PAPERS

ORIGINALLY FILED

485 Seventh Avenue, 14 Floor New York, New York 10018

(212) 736-1940

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service with sufficient postage as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on

July 22, 2002

DAVIDSON, DAVIDSON & KAPPEL, LLC



# United States Patern and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

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ATTORNEY DOCKET NUMBER

10/038,757

01/02/2002

Paul Steven Marshall

481.1001CON2

23280 DAVIDSON, DAVIDSON & KAPPEL, LLC 485 SEVENTH AVENUE, 14TH FLOOR NEW YORK, NY 10018



CONFIRMATION NO. 7920 FORMALITIES LETTER
\*OC000000007698723\*

Date Mailed: 03/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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Parts due

4/ 22/02

Reminder

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